**Summer Research Form Guidelines for 2019**

Summer Research compensation is for faculty who have contract or grant awards with summer salary itemized in the budget. Compensation is only for actual time worked and effort spent during the summer research period, and can only be paid for periods the faculty member is actively working on the project. It cannot be paid to faculty on vacation, committed to other projects, or for time worked outside of the summer research period.

If a Summer Teaching Agreement is also in effect, usually days identified for teaching cannot also be identified as summer research. Normally, the two cannot overlap if together they exceed 100% FTE. If teaching full time during the summer, extra compensation of up to one day per week (125% FTE) is allowable if approved in advance by the department chairperson, dean, and by the agency funding the summer research. Exceptions to this policy must be approved in advance by the Provost’s Office via the Associate Provost.

Please follow the guidelines below when preparing summer research forms:

1. The award budget must have adequate funds to cover the summer salary including fringe benefits.

2. Federal grant rules do not allow 9-month Faculty to pay themselves more than 1/9th of their salary in any one summer month. Faculty on 9-month appointments may pay themselves a maximum of 3/9ths of their academic year salary during the summer. Academic year salary is defined as the 9-month base salary plus any special administrative component (SAC) that was in effect at the time the summer research payment was approved. Specifically, the pay rate (Rate 1) for May and June is determined by the 2018/2019 academic year salary and the pay rate (Rate 2) for July and August is determined by the 2019/2020 academic year salary. Revisions to an academic year contract will not result in revisions to summer research payments. The calculations for the maximum allowable payments must include any approved SACs, though a faculty member can choose to take less than the maximum allowable payment. The academic year salary does not include:

* Overload Payments
* Extra Compensation as described by Faculty Handbook Policy C.140
* Monetary Awards

 Please note: If research to be performed is for a **National Science Foundation** award, the maximum compensation is limited to no more than two months of regular salary in any one year, including summer salary.This limit includes salary received from all NSF funded grants.

3. You may submit **one form** for the entire summer period pay. Use **Rate 1** for summer research that occurs beforeJuly 1 and **Rate 2** for summer research that occurs after July 1.

4. The 3/9ths limit is calculated using the base salary as follows. Example: Professor X’s academic year salary for FY18/19 is $90,000. **Rate 1** for May and June will be $10,000 ($90,000/9). For FY18/20, Professors X’s academic year salary is $95,000. **Rate 2** for July and August will be $10,555.55 ($95,000/9).

The amount payable in May and August is pro-rated by the number of work days for summer research in each month and the total should equal 1/9th. Use .652 as the multiplier for calculating the May payroll, and .318 for the August payroll. For information on how the multipliers were determined, please see the explanation at the end of the guidelines.

The maximum allowable payments for the summer research period of May 13 through August 9 are given the table below:

|  |  |  |
| --- | --- | --- |
|   | Calculation | Maximum Payment |
| May Payment | 10,000\*.652 | 6520.00 |
| June Payment | 10,000.00 | $10,000.00 |
| July Payment | 10,555.00 | $10,555.00 |
| August Payment | 10,555\*.318 | $3356.49 |
| **Total Payment** |   | **$30431.49** |

 For additional sample calculations, see the examples given at the end of the guidelines.

**All Summer Research forms must be processed no later than the deadline for the last ‘pick up’ payroll during the first week in September each year. Any exceptions will need to be accompanied by a justification signed by the chair and dean.**

Should you have any questions about the form, please call the Faculty Contracts and Services office at 277-4525 or by email at faculty@unm.edu  or if you have questions about your index/grant, call the Contract and Grant Accounting.

**Basis for Determining May and August Percentages**

The basis for determining the percentage of 1/9th of the faculty member's salary that can be paid during the partial months of May and August was the percentage of work days that fall in either month. Specifically:

|  |  |  |  |
| --- | --- | --- | --- |
|   | Working Days | Calculation | Percentage |
| Summer Research Days from May 13-31 | 15 | 15/23 | 65.2% |
| Summer Research Days in August 1-9: | 7 | 7/22 | 31.8% |
| Total Summer Research Days in May/August: | 22 | N/A | 97% |

**Example Scenarios for Summer Research Payment Calculations**

Example A: Faculty A will receive maximum payment for May, June, July, August. Faculty A has a SAC for both AY 18/19 and AY 19/20.

|  |  |  |  |
| --- | --- | --- | --- |
|   | 9 mo Base | SAC | Total |
| 2018/2019 Contract |  $55,000.00  |  $5,000.00  |  $60,000.00  |
| 2019/2020 Contract |  $56,650.00  |  $5,000.00  |  $61,650.00  |
|  |  |  |  |
|   | Calculation | Rate |  |
| Rate 1 (2018/2019 contract) | 60000/9 |  $6,666.67  |  |
| Rate 2 (2019/2020 contract) | 61,650/9 |  $6,850.00  |  |
|  |  |  |  |
|   | Calculation | Maximum Payment |  |
| May Payment | 6,666.67\*.652 | $4346.66 |  |
| June Payment | 6,666.67 | $6,666.67 |  |
| July Payment | 6,850.00 | $6,850.00 |  |
| August Payment | 6850\*.318 | $2178.30 |  |

Example B: Faculty B will receive maximum payment for May, June, July, August. Faculty B has a SAC for AY 18/19 and no SAC in AY 18/20.

|  |  |  |  |
| --- | --- | --- | --- |
|   | 9 mo Base | SAC | Total |
| 2018/2019 Contract |  $55,000.00  |  $5,000.00  |  $60,000.00  |
| 2018/2020 Contract |  $56,650.00  |  $-  |  $56,650.00  |
|  |  |  |  |
|  |  |  |  |
|   | Calculation | Rate |  |
| Rate 1 (2018/2019 contract) | 60000/9 |  $6,666.67  |  |
| Rate 2 (2019/2020 contract) | 56,650/9 |  $6,294.44  |  |
|  |  |  |  |
|   | Calculation | Maximum Payment |  |
| May Payment | 6,666.67\*.652 | $4,346.67 |  |
| June Payment | 6,666.67 | $6,666.67 |  |
| July Payment | 6,294.44 | $6,294.44 |  |
| August Payment | 6,294.44\*.318 | $2,001.63 |  |

Example C: Faculty C will receive maximum payment for May, June, July, August. Faculty C does not have a SAC in AY 18/19 and will have a SAC in AY 19/20

|  |  |  |  |
| --- | --- | --- | --- |
|   | 9 mo Base | SAC | Total |
| 2018/2019 Contract: |  $55,000.00  |  $-  |  $55,000.00  |
| 2019/2020 Contract |  $56,650.00  |  $5,000.00  |  $61,650.00  |
|  |  |  |  |
|   | Calculation | Rate |  |
| Rate 1 (2018/2019 contract) | 55,000/9 |  $6,111.11  |  |
| Rate 2 (2019/2020 contract) | 61,650/9 |  $6,850.00  |  |
|  |  |  |  |
|   | Calculation | Maximum Payment |  |
| May Payment | 6,111.11\*.652 | $3,984.44 |  |
| June Payment | 6,111.11 | $6,111.11 |  |
| July Payment | 6,850.00 | $6,850.00 |  |
| August Payment | 6,850.00\*.318 | $2,178.30 |  |

Example D: Faculty A (see payment schedule from Example A) will only be conducting summer research for several weeks during the summer research period. Payment can be pro-rated by the number of days worked in the month. The maximum number for work days per month for the 2019 summer research period is given below.

|  |  |
| --- | --- |
|   | Maximum Number of Work Days |
| May 2019 | 15 |
| June 2019 | 20 |
| July 2019 | 23 |
| August 2019 | 7 |

Example D.1: Faculty A working 5 days (1 week) in May

Calculation: 5 days/15 total work days\*Maximum May Payment

 5/15\*$6,111.11\*.652 = $1,328.15

Example D.2 Faculty A working 10 days (2 weeks) in June

Calculation: 10 days/20 total work days\*Maximum June Payment

 10 days/20 total work days\*$6,111.11 = $3,055.55

Example D.3: Faculty A working 15 days (3 weeks) in July

Calculation: 15 days/23 total work days\*$6,850.00 = $4,467.39

Example D.4: Faculty A working 2 days in August

Calculation: 2 days/7 total work days\*$6,850.00\*.318 = $622.37

**Calculator for Determining Pro-rated Summer Research Payments**

Please feel free to use the calculator below to determine pro-rated monthly payments when a faculty member conducts summer research for a partial month. Enter the academic year salary and the number of days worked for the relevant month.

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